

1. Name of Listed Entity: Mawana Sugars Limited
2. Scrip Code/Name of Scrip/Class of Security: MAWANASUG
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share (III)	No. of fully paid up equity Shares Held (IV)	No. of Partly paid-equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. share held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in (XII)		Number of Shares pledged otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)	
								No of Voting					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter Group	4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0.00	100000	4.03	24835976		
B	Public	47882	14280888	0	0	14280888	36.51	14280888	0	14280888	36.51	0	0.00			13681905		
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0.00	0	0.00			0		
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0.00	0	0.00			0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total	47886	39116864	0	0	39116864	100.00	39116864	0	39116864	100.00	0	0.00	100000	2.56	38517881		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ((VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No (a)	As a % of total Shares held (b)	No (a)		As a % of total share s held (b)	
								As a % of (A+B+C2) (VIII)	Class X	Class Y									Total
1a	Indian Individuals/Hindu undivided Family		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Mr.Siddharth Shriram	AAUPS8408G	1	24617959	0	0	24617959	62.93	24617959	0	24617959	62.93	0	0.00	100000	4.06	24617959		
	Mrs. Roula Shriram	AACPS3802N	1	536	0	0	536	0.00	536	0	536	0.00	0	0.00	0	0.00	536		
	Mr.Siddharth Shriram (Trustee of Enterprise Trust)	AAATE1306R	1	216289	0	0	216289	0.55	216289	0	216289	0.55	0	0.00	0	0.00	216289		
b	Central Government/ State Government(s)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
c	Financial Institutions/ Banks		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
d	Any Other (specify)		1	1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	1192		
	Bodies Corporate		1	1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	1192		
	Siel Infrastructure & Estate Developers	AAACA6622R	1	1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	1192		
	Sub-Total (A)(1)		4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0.00	100000	4.03	24835976		
2a	Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
b	Government		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
c	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
d	Foreign Portfolio Investor		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
e	Any Other (specify)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (A)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0.00	100000	4.03	24835976		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Paid up	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (c)		As a % of total shares held (Not applicable) (d)
									Class X	Class	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0	0	
a	Mutual Funds/		6	11776	0	0	11776	0.03	11776	0	11776	0.03	0	0.00				11342	
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
f	Financial Institutions/ Banks		26	336197	0	0	336197	0.86	336197	0	336197	0.86	0	0.00				325158	
g	Insurance Companies		4	295208	0	0	295208	0.75	295208	0	295208	0.75	0	0.00				295208	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
i	Any Other (specify)		2	3093	0	0	3093	0.01	3093	0	3093	0.01	0	0.00				3093	
	Sub-Total (B)(1)		38	646274	0	0	646274	1.65	646274	0	646274	1.65	0	0.00				634801	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
3	Non-institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
a	Individuals -		46926	9496071	0	0	9496071	24.27	9496071	0	9496071	24.27	0	0.00				8987884	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		46892	8016148	0	0	8016148	20.49	8016148	0	8016148	20.49	0	0.00				7507961	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		34	1479923	0	0	1479923	3.78	1479923	0	1479923	3.78	0	0.00				1479923	
b	NBFCs registered with RBI		7	24256	0	0	24256	0.06	24256	0	24256	0.06	0	0.00				24256	
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
e	Any Other (specify)		911	4114287	0	0	4114287	10.52	4114287	0	4114287	10.52	0	0.00				4034964	
	Bodies Corporate		389	2402326	0	0	2402326	6.14	2402326	0	2402326	6.14	0	0.00				2375677	
	Clearing Members		134	1277708	0	0	1277708	3.27	1277708	0	1277708	3.27	0	0.00				1277708	
	Shri Prasram Holdings Ltd.	AAACS4487J	1	768117	0	0	768117	1.96	768117	0	768117	1.96	0	0.00				768117	
	Enemy Property		72	47365	0	0	47365	0.12	47365	0	47365	0.12	0	0.00				0	
	Non-Resident Indian (NRI)		312	234372	0	0	234372	0.60	234372	0	234372	0.60	0	0.00				229071	
	Overseas Corporate Bodies		2	807	0	0	807	0.00	807	0	807	0.00	0	0.00				807	
	Trusts		1	111	0	0	111	0.00	111	0	111	0.00	0	0.00				111	
	Unclaimed or Suspense or Escrow Account		1	151598	0	0	151598	0.39	151598	0	151598	0.39	0	0.00				151590	
	Sub-Total (B)(3)		47844	13634614	0	0	13634614	34.86	13634614	0	13634614	34.86	0	0.00				13047104	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		47882	14280888	0	0	14280888	36.51	14280888	0	14280888	36.51	0	0.00				13681905	