

1. Name of Listed Entity: Mawana Sugars Limited
2. Scrip Code/Name of Scrip/Class of Security: MAWANASUG
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2019
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Lockes (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	
Class	Class	Total	Class eg: X	Class eg: y	Total												
A	Promoter & Promoter Group	4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0	1000000	4.03	24835976	
B	Public	45833	14280888	0	0	14280888	36.51	14280888	0	14280888	36.51	0	0			13777529	
C	Non Promoter- Non Public	0	0	0	0	0		0	0	0		0	0			0	
C1	Shares underlying DRs	0	0	0	0	0		0	0	0		0	0			0	
C2	Shares held by Employee Trusts	0	0	0	0	0		0	0	0		0	0			0	
	Total	45837	39116864	0	0	39116864	100	39116864	0	39116864	100	0	0	1000000	2.56	38613505	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Part paid-equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total shares held (VII) = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (X)	Shareholding, as a % assuming full conversion of convertible securities (including Warra) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of shares held in dematerialized form (XIV)			
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)				
																		No of Voting Rights		
																		As a % of (A+B+C2) (VIII)		
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
a	Individuals/Hindu undivided Family	3	24834784	0	0	24834784	63.49	24834784	0	24834784	63.49	0	0	1000000	4.03	24834784				
	Mr.Siddharth Shriram	AAUPS8408G	1	24617959	0	0	24617959	62.93	24617959	0	24617959	62.93	0	0	1000000	4.06	24617959			
	Mr.Siddharth Shriram (Trustee of Enterprise Trust)	AAATE1306R	1	216289	0	0	216289	0.55	216289	0	216289	0.55	0	0	0	0	216289			
	Mrs. Roula Shriram	AACPS3802N	1	536	0	0	536	0	536	0	536	0	0	0	0	0	536			
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
d	Any Other (specify)		1	1192	0	0	1192	0	1192	0	1192	0	0	0	0	0	1192			
	Bodies Corporate		1	1192	0	0	1192	0	1192	0	1192	0	0	0	0	0	1192			
	Siel Infrastructure & Estate Developers	AAACA6622R	1	1192	0	0	1192	0	1192	0	1192	0	0	0	0	0	1192			
	Sub-Total (A)(1)		4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0	1000000	4.03	24835976			
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	24835976	0	0	24835976	63.49	24835976	0	24835976	63.49	0	0	1000000	4.03	24835976			

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		6	11776	0	0	11776	0.03	11776	0	11776	0.03	0	0	0	0	0	11342	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
f	Financial Institutions/ Banks		26	352701	0	0	352701	0.9	352701	0	352701	0.9	0	0	0	0	0	341879	
g	Insurance Companies		4	295208	0	0	295208	0.75	295208	0	295208	0.75	0	0	0	0	0	295208	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)		2	3093	0	0	3093	0.01	3093	0	3093	0.01	0	0	0	0	0	3093	
	Sub-Total (B)(1)		38	662778	0	0	662778	1.69	662778	0	662778	1.69	0	0	0	0	0	651522	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -		45067	10490510	0	0	10490510	26.82	10490510	0	10490510	26.82	0	0	0	0	0	10030264	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		45030	8772669	0	0	8772669	22.43	8772669	0	8772669	22.43	0	0	0	0	0	8312435	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		37	1717841	0	0	1717841	4.39	1717841	0	1717841	4.39	0	0	0	0	0	1717829	
	RAJU AJIT BHANDARI	AELPB2295P	1	434615	0	0	434615	1.11	434615	0	434615	1.11	0	0	0	0	0	434615	
b	NBFCs registered with RBI		2	14058	0	0	14058	0.04	14058	0	14058	0.04	0	0	0	0	0	14058	
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)		726	3113542	0	0	3113542	7.96	3113542	0	3113542	7.96	0	0	0	0	0	3081685	
	Bodies Corporate		334	2388272	0	0	2388272	6.11	2388272	0	2388272	6.11	0	0	0	0	0	2361725	
	Shri Parasram Holdings Private Limited	AAACS4487J	1	483889	0	0	483889	1.24	483889	0	483889	1.24	0	0	0	0	0	483889	
	Premier Credit Capital Limited	AAABCP5326Q	1	501505	0	0	501505	1.28	501505	0	501505	1.28	0	0	0	0	0	501505	
	Clearing Members		78	347232	0	0	347232	0.89	347232	0	347232	0.89	0	0	0	0	0	347232	
	Non-Resident Indian (NRI)		310	227077	0	0	227077	0.58	227077	0	227077	0.58	0	0	0	0	0	221767	
	Overseas Corporate		2	807	0	0	807	0	807	0	807	0	0	0	0	0	0	807	

Bodies																			
Trusts	1	111	0	0	111	0	111	0	111	0	0	0	0	0	0				111
Unclaimed or Suspense or Escrow Account	1	150043	0	0	150043	0.38	150043	0	150043	0.38	0	0.38	0	0	0				150043
Sub-Total (B)(3)	45795	13618110	0	0	13618110	34.81	13618110	0	13618110	34.81	0	34.81	0	0	0				13126007
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	45833	14280888	0	0	14280888	36.51	14280888	0	14280888	36.51	0	36.51	0	0	0				13777529

