

1. Name of Listed Entity: Mawana Sugars Limited
2. Scrip Code/Name of Scrip/Class of Security: MAWANASUG
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total (calculated per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class eg: X	Class eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Promoter & Promoter Group	4	27335976	0	0	27335976	69.88	27335976	0	27335976	70.16	0	69.88	0	0.00	1000000	3.66	27335976
B	Public	47405	11780888	0	0	11780888	30.12	11628187	0	11628187	29.84	0	30.12	0	0.00			11160095
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	47409	39116864	0	0	39116864	100.00	38964163	0	38964163	100.00	0	100.00	0	0.00	1000000	2.56	38496071

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Part paid-equity share held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding as SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held	
								As a % (A+B+C) (VIII)	Class X	Class Y									
																			Tot al
1	Indian					0	0.00		0	0.00		0.00		0.00		0.00			
a	Individuals/Hindu undivided Family		3	27334784	0	0	27334784	69.88	27334784	0	27334784	70.15	0	69.88	0	0.00	1000000	3.66	27334784
	Mr.Siddharth Shriram	AAUPS8408G		27117959	0	0	27117959	69.33	27117959	0	27117959	69.60	0	69.33	0	0.00	1000000	3.69	27117959
	Mrs. Roula Shriram	AACPS3802N		536	0	0	536	0.00	536	0	536	0.00	0	0.00	0	0.00	0	0.00	536
	Mr.Siddharth Shriram (Trustee of Enterprise Trust)	AAATE1306R		216289	0	0	216289	0.55	216289	0	216289	0.56	0	0.55	0	0.00	0	0.00	216289
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)		1	1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	0	0.00	1192
	Body Corporate		1	1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	0	0.00	1192
	Siel Infrastructure & Estate Developers Pvt Ltd	AAACA6622R		1192	0	0	1192	0.00	1192	0	1192	0.00	0	0.00	0	0.00	0	0.00	1192
	Sub-Total (A)(1)		4	27335976	0	0	27335976	69.88	27335976	0	27335976	69.88	0	69.88	0	0.00	1000000	3.66	27335976
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	4	27335976	0	0	27335976	69.88	27335976	0	27335976	69.88	0	69.88	0	0.00	1000000	3.66	27335976
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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held (b)	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class	Total								
1	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/	6	12499	0	0	12499	0.03	12499	0	12499	0.03	0	0.00				11520	
b	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
c	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
d	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
e	Foreign Portfolio Investors	1	10408	0	0	10408	0.03	10408	0	10408	0.03	0	0.00				10408	
f	Financial Institutions/ Banks	28	627383	0	0	627383	1.60	627383	0	627383	1.61	0	0.00				616344	
g	Insurance Companies	4	295208	0	0	295208	0.75	295208	0	295208	0.76	0	0.00				295208	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
i	Any Other (specify)	2	3093	0	0	3093	0.01	3093	0	3093	0.01	0	0.00				3093	
	Other	2	3093	0	0	3093	0.01	3093	0	3093	0.01	0	0.00				3093	
	Sub-Total (B)(1)	41	948591	0	0	948591	2.42	948591	0	948591	2.44	0	0.00				936573	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Individuals -	46303	7219782	0	0	7219782	18.46	7219782	0	7219782	18.53	0	0.00	0	0.00	0	6691159	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	46275	5883566	0	0	5883566	15.04	5883566	0	5883566	15.10	0	0.00				5354943	
ii	Individual	28	1336216	0	0	1336216	3.42	1336216	0	1336216	3.43	0	0.00				1336216	

	shareholders holding nominal share capital in excess of Rs. 2 lakhs.																		
b	NBFCs registered with RBI	1	4058	0	0	4058	0.01	4058	0	4058	0.01	0	0.01	0	0.00				4058
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
e	Any Other (specify)	1060	3608457	0	0	3608457	9.22	3455756	0	3455756	8.87	0	9.22	0	0.00				3528305
	Body Corporate	705	3241317	0	0	3241317	8.29	3241317	0	3241317	8.32	0	8.29	0	0.00				3214573
	Non-Resident Indian (NRI)	277	158289	0	0	158289	0.40	158289	0	158289	0.41	0	0.40	0	0.00				152246
	Foreign Nationals	72	47365	0	0	47365	0.12	47365	0	47365	0.12	0	0.12	0	0.00				0
	Overseas Corporate Bodies	2	807	0	0	807	0.00	807	0	807	0.00	0	0.00	0	0.00				807
	Other	1	152701	0	0	152701	0.39	0	0	0	0.00	0	0.39	0	0.00				152701
	Mawana Sugars Limited Unclaimed Suspense Account	AAACS4902Q1	152701	0	0	152701	0.39	0	0	0	0.00	0	0.39	0	0.00				152701
	Trust	3	7978	0	0	7978	0.02	7978	0	7978	0.02	0	0.02	0	0.00				7978
	Sub-Total (B)(3)	47364	10832297	0	0	10832297	27.69	10679596	0	10679596	27.41	0	27.69	0	0.00				10223522
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	47405	11780888	0	0	11780888	30.11	11628187	0	11628187	29.85	0	30.11	0	0.00				11160095

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)			
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)				
																		No of Voting Rights		
																		Total as a % of Total Voting rights		
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
6433	152701

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %